

INFORMATION DISCLOSURE CITATION FORM FOR PATENT APPLICATION (FORM PTO-1449) (Substitute)			Docket No.: D/A1175/690-011188 Applicant(s): M. H. Lean et al. Filing Date: Herewith		Serial No.: Group:	
U.S. PATENTS						
Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date
	2,573,143	10/30/51	Carlyle W. Jacob	178	5.2	
	2,577,894	12/11/51	Carlyle W. Jacob	346	75	
	3,152,858	10/13/64	Wadey	346	75	
	3,572,591 ✓	3/30/71	Richard J. Brown	239	337	
	3,977,323	8/31/76	Pressman et al.	101	426	
	3,997,113	12/14/76	Pennebaker, Jr.	239	15	
	4,019,188	4/19/77	Hochberg et al.	347	75	
	4,106,032	8/8/78	Miura et al.	346	140 R	
	4,113,598	9/12/78	Jozwiak, Jr., et al.	204	181 R	
	4,146,900	3/27/79	Arnold	346	75	
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Initials	Publication No.	Pub. Date	Name	Class	Sub-class	Filing Date
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Initials	Document Number	Date	Country	Name	Translation? Yes/No/n/a	
	0 655 337 A2 ✓	11/25/94	EP	Ando et al.	Y	
	0 726 158 A1 ✓	8/14/96	EP	C. Kaisha	Y	
	02-293151 ✓	12/4/90	JP	Ricoh Co. Ltd.	Y	
	4-158044 ✓	6/1/92	JP	Hoya Corp.	Y	
	4-182138 ✓	6/29/92	JP	Seiko Epson Corp.	Y	
	5-193140 ✓	8/3/93	JP	Seiko Epson Corp.	Y	
	5-269995 ✓	10/19/93	JP	Tokyo Electric Co. Ltd.	Y	
OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)						
	Anger, F., Jr. et al. "Low Surface Energy FluoroEpoxy Coating for Drop-on-Demand Nozzles," IBM Technical Disclosure Bulletin, vol. 26, No. 1, P. 431, June 1983.					
	Author Unknown, "Array Printers Demonstrates First Color Printer Engine", The Hard Copy Observer Published by Lyra Research, Inc., vol. V111, No. 4, p. 36, April 1998					
	Fuchs, N.A. "The Mechanics of Aerosols", Dover Publications, Inc. p. 79, 367-377, 1989 (Originally published in 1964 by Pergamon Press Ltd.).					
	Le, Hue et al. "Air-Assisted Ink Jet with Mesa-Shaped Ink-Drop-Forming Orifice", Presented at the Fairmont Hotel in Chicago and San Jose, Fall 1987, p. 223-227.					
	U.S. Application No. 08/670,734 entitled "Flexible Donor Belt Employing a DC Traveling Wave", filed 6/24/96.					
	U.S. Application No. 09/041,353, entitled "Coated Photographic Papers", McAneney et al. filed 3/12/98.					
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	4,171,777	10/23/79	Hans Behr	239	422	
	4,189,937	2/26/80	Philip A. Nelson	73	28	
	4,196,437	4/1/80	Hertz	346	1.1	
	4,223,324	9/16/80	Yamamori et al.	346	140R	
	4,271,100	6/21/81	Christian Trassy	261	78A	
	4,284,418	8/18/81	Ronald P. Andres	55	16	
	4,368,850	1/18/83	George Szekely	239	333	
	4,403,228	9/6/83	Miura et al.	346	75	
	4,403,234	9/6/83	Miura et al.	346	140R	
	4,480,259	10/30/84	Kruger et al.	346	140R	
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	53-35539 ✓	4/3/78	JP	Ricoh Co. Ltd.	Y	
	5-4348 ✓	1/14/93	JP	Canon Inc.	Y	
	55-19556 ✓	2/12/80	JP	Ricoh Co. Ltd.	Y	
	55-28819 ✓	2/29/80	JP	Ricoh Co. Ltd.	Y	
	56-146773 ✓	11/14/81	JP	Mitsubishi Electric Corp.	Y	
	57192027A ✓	11/26/82	JP	Matsushita Electric Inc. Co.	Y	
OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)						
	U.S. Application No. 09/407,908, entitled "Ballistic Aerosol Marking Apparatus with Stacked Electrode Structure", filed 9/29/99.					
	U.S. Application No. 09/410,371, entitled "Ballistic Aerosol Marking Apparatus with Non-wetting Coating", filed 9/30/99.					
	U.S. Application No. 09/163,839, entitled "Ballistic Aerosol Marking Apparatus for Marking a Substrate", filed 9/30/98. ✓					
	U.S. Application No. 09/164,124, entitled "Method of Marking a Substrate Employing a ballistic Aerosol Marking Apparatus", filed 9/30/98.					
	U.S. Application No. 09/164,250, entitled "Ballistic Aerosol Marking Apparatus for Treating a Substrate", filed 9/30/98.					
	U.S. Application No. 09/163,808 entitled "Method of Treating a Substrate Employing a Ballistic Aerosol Marking Apparatus", filed 9/30/98.					
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Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date
	4,490,728	12/25/84	Vaught et al.	346	1.1	
	4,500,895	2/19/85	Buck et al.	346	140 R	
	4,514,742	4/30/85	Suga et al.	346	140R	
	4,515,105	5/7/85	Danta et al.	118	629	
	4,544,617	10/1/85	Mort et al.	430	58	
	4,606,501	8/19/86	Bate et al.	239	346	
	4,607,267	8/19/86	Yamamuro	346	140 R	
	4,613,875	9/23/86	Le et al.	346	140 R	
	4,614,953	9/30/86	Lapeyre	346	140R	
	4,634,647	1/6/87	Jansen et al.	430	84	
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	58-224760 ✓	12/27/83	JP	Canon K.K.	Y	
	60-229764 ✓	11/15/85	JP	Citizen Tokei KK	Y	
	62-35847 ✓	2/16/87	JP	Seiko Epson Corp.	Y	
	WO93/11866 ✓	6/24/93	PCT	Research Laboratories of Australia PTY.LTD.	Y	
	WO94/18011 ✓	8/18/94	PCT	Tonejet Corporation PTY.LTD	Y	
	WO97/01449 ✓	1/16/97	PCT	Ilford A.G. et al.	Y	
OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)						
	U.S. Application No. 09/163,765, entitled "Cartridge for Use in a Ballistic Aerosol Marking Apparatus, Filed 9/30/98.					
	U.S. Application No. 09/163,839, entitled "Marking Material Transport", filed 9/30/98.					
	U.S. Application No. 09/163,954, entitled "Ballistic Aerosol Marking Apparatus for Marking with a Liquid Material", filed 9/30/98.					
	U.S. Application No. 09/163,924, entitled "Method for Marking with a Liquid Material Using a Ballistic Aerosol Marking Apparatus, filed 9/30/98.					
	U.S. Application No. 09/163,799, entitled "Method of Making a Print Head for Use in a Ballistic Aerosol Marking Apparatus" filed 9/30/98.					
	U.S. Application No. 09/163,664, entitled "Organic Overcoat for Electrode Grid", filed 9/30/98.					
	U.S. Application No. 09/163,518, entitled "Inorganic Overcoat for Particulate Transport Electrode Grid", filed 9/30/98.					
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	4,647,179	3/3/87	Schmidlin	355	3DD	
	4,663,258	5/5/87	Pai et al.	430	57	
	4,666,806	5/19/87	Pai et al.	430	57	
	4,683,481	7/28/87	Samuel A. Johnson	346	140R	
	4,720,444	1/19/87	Chen	430	58	
	4,728,969	3/1/88	Le et al.	346	140R	
	4,741,930	5/3/88	Howard et al.	427	265	
	4,760,005	7/26/88	Pai	430	65	
	4,770,963	9/13/88	Pai et al.	430	64	
	4,791,046	12/13/88	Ogura	430	296	
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FOREIGN PATENT DOCUMENTS						
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	WO 97/27058 ✓	7/31/97	PCT	Tonejet Corporation PTY.LTD	Y	
OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)						
	U.S. Application No. 09/164,104, entitled "Kinetic Fusing of a Marking Material", filed 9/30/98					
	U.S. Application No. 09/163,825, entitled "Multi-Layer Organic Overcoat for Electrode Grid", filed 9/30/98					
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	4,839,232	6/13/89	Morita et al.	428	473.5	
	4,839,666	6/13/89	Jayne	346	75	
	4,870,430	9/26/89	Daggett et al.	346	140R	
	4,882,245	11/21/89	Gelorme et al.	430	14	
	4,896,174	1/23/90	Richard G. Stearns	346	459	
	4,929,968	5/29/90	Ishikawa	346	140R	
	4,961,966	10/9/90	Stevens et al.	427	299	
	4,973,379	11/27/90	Brock et al.	156	640	
	4,982,200	1/1/91	Ramsay	346	75	
	5,030,536	7/9/91	Pai et al.	430	127	
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	5,041,849	8/20/91	Quate et al.	346	140R	
	5,045,870	9/3/91	Lamey et al.	346	140R	
	5,063,655	11/12/91	Lamey et al.	29	611	
	5,066,512	11/19/91	Goldowsky et al.	427	14.1	
	5,113,198	5/12/92	Nishikawa et al.	346	1.1	
	5,190,817	3/2/93	Terrell et al.	428	343	
	5,202,704	4/13/93	Naoto Isao	346	140R	
	5,208,630	5/14/93	Goodbrand et al.	355	201	
	5,209,998	5/11/93	Kavassalis et al.	430	106	
	5,240,153	8/31/93	Tubaki et al.	222	385	
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	5,240,842	8/31/93	Laurens J. Mets	435	172.3	
	5,294,946	3/15/94	Gandy et al.	346	140 R	
	5,300,339	4/5/94	Hays et al.	428	36.9	
	5,350,616	9/27/94	Pan et al.	428	131	
	5,385,803	1/31/95	Duff et al.	430	138	
	5,397,664	3/14/95	Noelscher et al.	430	5	
	5,403,617	4/4/95	Haaland	427	180	
	5,425,802	6/20/95	Burton et al.	95	32	
	5,426,458	6/20/95	Wenzel et al.	347	45	
	5,428,381	6/27/95	Hadimioglu et al.	347	46	
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	5,482,587	1/9/96	McAleavey	156	243	
	5,491,047	2/13/96	Kim et al.	430	329	
	5,510,817	4/23/96	Sohn	347	21	
	5,512,712	4/30/96	Iwata et al.	174	258	
	5,520,715	5/28/96	Richard C. Oeftering	75	335	
	5,522,555	6/4/96	Trent A. Poole	241	33	
	5,535,494	7/16/96	Plesinger et al.	29	25.35	
	5,541,625	6/30/96	Holstun et al.	347	5	
	5,554,480	9/10/96	Patel et al.	430	137	
	5,600,351	2/4/97	Holstun et al.	347	40	
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	5,604,519	2/18/97	Keefe et al.	347	13	
	5,635,969	6/3/97	Allen	347	96	
	5,640,187	6/17/97	Kashiwazaki et al.	347	101	
	5,646,656	7/8/97	Leonhardt et al.	347	43	
	5,654,744	8/5/97	Nicoloff, Jr. et al.	347	43	
	5,678,133	10/14/97	Robert P. Siegel	399	67	
	5,682,190	10/28/97	Hirosawa et al.	347	94	
	5,712,669	1/27/98	Swanson et al.	347	49	
	5,731,048	3/24/98	Ashe et al.	427	585	
	5,756,190	5/26/98	Hosomi et al.	428	209	
	5,717,986	2/10/98	Vo et al.	399	291	
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	5,761,783	6/9/98	Osawa et al.	29	25.35	
	5,777,636	7/7/98	Naganuma et al.	347	10	
	5,780,187	7/14/98	Pierrat	430	5	
	5,787,558	8/4/98	Murphy	29	25.35	
	5,818,477	10/6/98	Fullmer et al.	347	43	
	5,853,906	12/29/98	Hsieh	428	690	
	5,882,830	3/16/99	Visser et al.	430	59	
	5,893,015	4/6/99	Mojarradi et al.	399	291	
	5,900,898	5/4/99	Shimizu et al.	347	93	
	5,958,122	9/28/99	Fukuda et al.	106	31.57	
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	5,967,044	10/19/99	Marschke	101	363	
	5,968,674	10/19/99	Hsieh et al.	428	690	
	5,969,733	10/19/99	Sheinman	347	75	
	5,981,043	11/9/99	Murakami et al.	428	209	
	4,982,404	11/9/99	Iga et al.	347	173	
	5,990,197	11/23/99	Escano et al.	523	160	
	5,992,978	11/30/99	Fujii et al.	347	54	
	6,019,466	2/1/00	Hermanson	347	104	
	6,036,295	3/14/00	Ando et al.	347	7	
	6,081,281	6/27/00	Cleary et al.	347	21	
	6,116,718	9/12/00	Peeters et al.	347	21	
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